

Ceredigion County Council Governance and Audit Committee Meeting Actions Log 2023-2024*

The purpose of this Actions Log is to monitor Actions agreed by the Governance and Audit Committee at their Meetings e.g. where the Governance and Audit Committee have requested a future Report to be presented to them, or information sought at a future Meeting.

For further information regarding the Actions Log please contact the Governance Officer.

Last updated: 26/01/2024

*Municipal Year

Actions marked Completed shall be removed from the subsequent Actions Log

Acronyms:

AW – Audit Wales

CE – Chief Executive

CLO – Corporate Lead Officer

DPO – Data Protection Officer

FWP – Forward Work Programme

GO – Governance Officer

MO – Monitoring Officer

CM – Corporate Manager

CMIA – Corporate Manager Internal Audit

DCC – Development Control Committee

GAC – Governance & Audit Committee

L&G – Legal & Governance

N/A – Not Applicable

TBC – To be confirmed

No	GAC Meeting Date	Item no	Noted / Comments	Action	Officer(s) responsible for action	Progress Update	To next be reported	Status	Notes
1	27/09/2023	8 Annual Governance Statement	<p>i) AGS / Local Code / Governance Framework</p> <ul style="list-style-type: none"> •Further work on these documents will be addressed following discussion with the chair. •An overarching document will be presented to consider both the Framework and Statement for 2023-24 	Work on update / revision of documents to commence.	Elin Prysor	Governance Framework has been created and shared with the chair and vice chair of GAC. It will be presented in GAC on 14.3.24	14/3/2024	In Progress	on agenda
2			(iii) to note that a follow up meeting with the CLO and Corporate Manager for the Museum service had been undertaken and that a further Internal Audit of Financial Management & Income was due to be undertaken in October to ensure sufficient controls being addressed by management;	Further Audit of Financial Management to be undertaken by the Audit Section	Alex Jenkins	Audit was completed in January and is due for review. Update in June meeting.	19/06/2024	In Progress	

3	24/01/2024	6 Regulator & Inspectorate Reports & Responses	i) it was agreed that the following ORF's would be returned to a future GAC meeting.	Officers to be asked for updated ORF's.	Katy Sinnett-Jones	It was agreed that the link will be shared for the report and only the ORF will be circulated to reduce report volume.	14/3/2024	In Progress	
			4b Cracks in the Foundation			No further update for March 2024.	19/06/2024	In Progress	
			6b Springing Forward - Workforce Management			Returned to GAC 14/03/2024		In Progress	
			7b Setting of well-being objectives			No further update for March 24.	19/06/2024	In Progress	
			8b Poverty in Wales			No further update for March.	19/06/2024	In Progress	
			10b Social Enterprises, Planning Service Review			No further update for March	19/06/2024	In Progress	
4			ii) it was noted that there was dissatisfaction with communication from the CJC due to several cancelled meetings	Comments to be passed to the CJC	Elin Prysor	Mid Wales CJC GAC scheduled for 19/3/24.	14/03/2024	Complete	
5	24/01/2024	16 Statutory & Non Statutory Guidance	i) It was noted that the document would be shared with Leadership Group.	Appendix 1 to be shared with Leadership Group.	Elin Prysor	WG Statutory Guidance (Appendix 1) shared with LG informally.	14/03/2024	Complete	

6			ii) GAC members to consider the document and give feedback to Elin Prysor / Alan Davies	GAC members to read and comment on the document.	GAC	14 day deadline to reply. x3 lay members replied. No replies received from Members	14/03/2024	Complete	
7			iii) Democratic Services to be consulted on the role of GAC within context of the WG Guidance	Lowri Edwards to be asked for advice.	Elin Prysor	WG Guidance & officers replies to Lay members comments on the Guidance shared with Head of Democratic services. Thereafter, It will be shared wider.	14/03/2024	In progress	
8	06/02/2024	6 Report of the Head of Finance	iii) That a progress report on addressing the Other Significant issues arising from the audit to be included on the Committee's Forward Work Programme	Mid-term report on The significant Property, Plant & Equipment issues reported in Audit Wales Report on the Accounts for 2022/23	Russell Hughes-Pickering		25/09/2024	In Progress	